

Philippine Association of Thoracic, Cardiac and Vascular Surgeon, Inc. COVID-19 Crisis-Driven Recommendations on Thoracic Surgery

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PATACSI RECOMMENDATIONS FOR APPROACH TO THORACIC SURGERY CASES

The COVID-19 pandemic has changed the landscape of the medical field. With the allocation of most resources, infrastructure, and personnel to the fight against COVID-19, the emphasis on minimizing the spread of the virus among patients and healthcare professionals while maintaining the flow of services by healthcare professionals to their patients is paramount. These recommendations are given in order to aid surgeons who may need to perform thoracic cases on patients in order to provide the best quality of care without causing harm either to the healthcare professional or the patient.

I. <u>PATIENT PREPARATION AND STAFF SAFETY:</u>

- 1.1. ALL patients should be approached as COVID-19 POSITIVE without the benefit of a swab test AND regardless of the degree of symptoms.
- **1.2.** ELECTIVE surgery should be DEFERRED as long as patients are NOT clinically compromised and/or the prevailing hospital conditions are NOT amenable to such surgeries. ⁽¹⁾
- *1.3.* For all ELECTIVE thoracic surgery cases, patients should be proven COVID-19 NEGATIVE prior to surgery.
 - **1.3.1.** For asymptomatic patients with unknown COVID-19 status, recommend one (1) COVID-19 swab test result as negative to show COVID-19 negative status.
 - **1.3.2.** For symptomatic patients with unknown COVID-19 status, recommend resolution of symptoms AND one (1) COVID-19 swab test result as negative to show COVID-19 negative status.
 - **1.3.3.** For COVID-19 positive patients, recommend two (2) consecutive COVID-19 negative results (3 days apart) as per recommendations of existing guidelines, as well as resolution of symptoms.
- **1.4.** All procedures for life threatening conditions (eg tension pneumothorax, massive hemothorax, massive bleeding, tamponade) should NOT be delayed. COVID-19 testing should be done after the life threatening condition is addressed and should be done as soon as possible.
- **1.5.** All emergent and urgent cases should have a chest CT scan prior to any procedure to aid in risk stratification⁽²⁾, but timing of surgery should NOT be delayed by having the diagnostic done. This is due to the following findings that may point to COVID-19 disease in asymptomatic patients:
 - **1.5.1.** COVID19-positive patients showed both lung parenchyma and interstitial involvement.
 - **1.5.2.** Early phase findings reveal ground glass opacities (GGO) and a single lesion.
 - **1.5.3.** Advance phase findings reveal pleural effusion with multifocal lesion distribution in the middle and lower lung regions and in the posterior lung area.

- 1.6. Consider all thoracic procedures as Aerosol Generating Procedures (AGP) regardless of COVID status.
 - **1.6.1.** To protect from aerosol- generating procedures CDC recommends: ⁽³⁾
 - **1.6.1.1.** NIOSH-certified, fit-tested N-95 or higher respirator, or a PAPR (powered air purifying respirator)
 - 1.6.1.2. Standardized attire under PPE (level A PPE) Figure A
 - **1.6.1.3.** SURGICAL SCRUBS or disposable garments and DEDICATED WASHABLE FOOTWEAR
 - **1.6.1.4.** Footwear should be closed-toe, soft-soled, washable, and have a closed back
 - **1.6.1.5.** COVERALLS with either an integrated hood or a surgical hood with integrated full-face shield
 - 1.6.1.6. Non-sterile gloves
 - 1.6.1.7. Disposable shoe covering
 - *1.6.2.* Operating room attire should be worn over PPE
 - 1.6.2.1. Sterile disposable gown
 - 1.6.2.2. Sterile gloves
 - **1.6.3.** Donning and Doffing of PPEs should be done in accordance with CDC-approved guidelines at all times.
- **1.7.** For bedside procedures, it is recommended to do procedures in negative pressure rooms. ⁽⁴⁾
- **1.8.** Workflow of procedures and operations should be such that only the most relevant and experienced personnel for the procedure are present, minimal un-necessary movement of personnel in and out of work areas, streamlining operative movements to ensure the timely performance of a procedure in the smallest amount of time without compromising patient and personnel safety, and ensuring minimizing contamination of equipment during the operative process.⁽⁴⁾
 - **1.8.1.** Electrosurgery units, when used, should be set on the lowest possible setting while still producing its desired effect. Use of monopolar electrosurgery, ultrasonic dissectors, and advanced bipolar devices should be minimized, if possible, as these may generate aerosols. A smoke evacuator is suggested when using these devices. ⁽⁴⁾
- **1.9.** For **ELECTIVE cases**, a **multidisciplinary approach** is recommended in assessing the best care possible for patients on a case-to-case basis, putting emphasis on the resources available for each patient's pre-operative, operative, and post-operative phase of care. ⁽¹⁾

II. <u>RECOMMENDATIONS for PLEURAL EFFUSION</u>

- **2.1.** Decision to do fluid drainage should be based on the patient's clinical status, volume of effusion, hospital readiness phase, and surgeon's expertise.
- **2.2.** Consider EMERGENT drainage for symptomatic (respiratory compromise) patients with effusion, patients with non-loculated empyema thoracis.
- **2.3.** Consider URGENT drainage for moderate ($\geq \frac{1}{2}$ opacification of hemithorax on CXR, or \geq 500ml volume by ultrasound) to large ($\geq \frac{2}{3}$ opacification of hemithorax on CXR, or \geq 1000ml volume by ultrasound)⁽¹²⁾ effusion with only mild symptoms OR

patients with recurrent pleural effusion refractory to medical / conservative management.

- **2.3.1.** Perform pre-procedure imaging studies (chest ultrasound, CT scan when applicable) to guide drainage placement and limit failure of drainage.
- 2.4. Drainage for malignant effusion should be done on an ELECTIVE basis.
 - **2.4.1.** Perform pre-procedure imaging studies (chest ultrasound, CT scan when applicable) to aid in decision-making for most appropriate drainage procedure (pigtail, tube thoracostomy, indwelling pleural catheter).
- **2.5.** For organized loculated effusion, the decision to perform open surgery vs. VATS is dependent on surgeon expertise and hospital readiness for performance of the procedure.
 - **2.5.1.** Perform pre-procedure imaging studies (chest ultrasound, CT scan when applicable) to aid in decision-making for most appropriate procedure.
 - **2.5.2.** Choose to perform procedure that offers less operative time, minimal or no pleural air leaks, short post-op hospital length-of-stay.
- 2.6. For patients with pleural effusion requiring admission for hospital-care:
 - **2.6.1.** Connect chest tube or indwelling pleural catheter (IPC) to a Pleur-evac, Sinapi or equivalent tube drainage system prior to tube insertion and hook to wall suction to minimize aerosol generation. ⁽⁵⁾

2.6.1.1. In the absence of a wall suction:

- **2.6.1.1.1.** A Heat and Moisture Exchange (HME) filter will be attached to an RCI Multi-adapter (HME adapter), which in turn will be connected to an endotracheal tube connector. The assembled filter will then be attached to the atmospheric outlet (wall suction port on the Sinapi chest drain) using a piece of suction tubing. The filter, will just serve as a germ trap preventing any organisms from leaving the system. (Appendix A)
- 2.6.1.2. In the absence of such drain device:
 - 2.6.1.2.1. A custom chest drain system (Annex A) can be made by attaching a cut segment of an endotracheal tube to an anti-microbial breathing filter/ HME filter (used in respirators, ventilator circuits and gas lines) is then connected to the chest drain suction port leaving the drain off suction and occluding the safety valve. The filtration efficacy is >99.99% for microorganisms ≥55nm in diameter (COVID-19 diameter is 65-125 nm).⁽⁶⁾
- 2.7. For patients with pleural effusion that can be discharged for home-care:
 - **2.7.1.** Connect chest tube or indwelling pleural catheter to a portable chest drain system (Pleur-evac, Sinapi or equivalent) prior to tube insertion to minimize

aerosol generation. Connect the HME filter set-up described above to the atmospheric outlet of the drainage system. (Annex A)

- **2.7.2.** Use of drainage system should be tailored to the patient's ability to do drainage system care at home, with the goal of minimizing frequent hospital visits or re-admission for troubleshooting.
- **2.8.** Those with Rocket pleural vent or chest tube with Heimlich valve (or equivalent) should be advised to continue self-isolation due to a small risk of aerosol generation.
- **2.9.** Pleurodesis is NOT advised at this time due to the possibility of complications necessitating frequent hospital / clinic visits. ⁽⁵⁾
- **2.10.** Pleural fluid samples should be handled as Category 3 pathogen and double-bagged with warning stickers. ^(3,5)

III. <u>RECOMMENDATIONS for PNEUMOTHORAX</u>

- 3.1. For symptomatic patients with pneumothorax, or cases of tension pneumothorax:
 - *3.1.1.* Should be managed as EMERGENT cases and perform surgical intervention at the soonest possible time AND in Level A PPEs.
 - **3.1.2.** Consider using an integrated device (Rocket pleural vent or equivalent) and in the absence use of a chest tube with Heimlich Valve attachment as outpatient.
 - 3.1.3. Advise to self-isolate due to minimal risk of aerosol generation.
- **3.2.** For patients with large pneumothorax (defined as having a visceral pleural separation of > 2cm at the level of the hilum on CXR), or for patients with expanding pneumothorax by serial CXR \rightarrow recommend URGENT surgical intervention to avoid development of severe symptoms or prolonged hospital stay due to serial observation.
- **3.3.** For large air leak or failed chest drain, and while patient is symptomatic, admit for further management. ⁽⁵⁾ Such cases should be treated as ELECTIVE cases.
 - **3.3.1.** Proceed with definitive surgery only if patient tests negative for COVID-19 AND provided the hospital has a separate operating suite for non-COVID patients... otherwise transfer to a non-COVID hospital.
 - **3.3.2.** Consider sending patient home with a drainage device (Rocket pleural drain, Heimlich valve, or the equivalent) once symptoms related to admission have improved.
 - **3.3.2.1.** May opt to maintain drainage device at home and consider removal once air leak has resolved.
 - 3.3.2.2. May prepare patient for definitive surgery (VATS or open surgery) on an outpatient basis → perform definitive surgery in a Phase I hospital.
- **3.4.** Novel modifications to air filtration, such as the use of HME filters set-up on the drainage system's suction port or air vent was introduced and concocted to close the

system from leaks that may cause aerosol generation. This set-up may be used provided that hospital resources are not drained by such modifications. (see *ANNEX A* for system set-up) It should be noted that currently there are no studies validating the efficacy of the said set-up in closing the drainage system thereby preventing escape of viral load to the air. (7)

IV. RECOMMENDATIONS FOR AIRWAY MANAGEMENT

4.1. For airway compromise:

- *4.1.1.* Intubation rather than tracheostomy would be preferable. ⁽⁸⁾
 - *4.1.1.1.* Avoid use of high flow oxygen.
 - **4.1.1.2.** Most skilled airway manager (anesthetist) present should manage airway to maximize first pass success.
 - 4.1.1.3. Reduce unnecessary team members to essential staff.
- **4.1.2.** In trauma patients with acute airway compromise **NOT** amenable to tracheal intubation, OR in other patients with difficult airway (very obese, airway obstruction from oropharyngeal or tracheal malignancy:
 - **4.1.2.1.** transport to the optimal environment of the operating room is often preferred.
 - **4.1.2.2.** If transportation is not desirable consider the feasibility of performing a safe bedside procedure or delaying the procedure.

4.2. Tracheostomy for **PROLONGED INTUBATION:**

- **4.2.1.** For patients with unknown COVID-19 status, advocate for COVID testing prior to tracheostomy.
 - *4.2.1.1.* Perform procedure in standard Level A PPE.
 - **4.2.1.2.** Use of novel devices / equipment (aerosol box, acrylic shields, etc.) dependent on surgeon expertise in performing the procedure with such devices.
- *4.2.2.* In COVID-19 positive patients:
 - **4.2.2.1.** An acceptable strategy is to wait for the disease to become non-transmissible (COVID-19 negative by 2 consecutive swab procedures as per protocol) prior to performing a high-risk aerosol-generating procedure such as tracheostomy. ⁽⁹⁾
 - **4.2.2.2.** Tracheostomy procedure should be considered in these patients with no less than 21 days of prolonged intubation AND with ascertained benefit from a tracheostomy procedure AFTER testing negative for COVID-19.
 - **4.2.2.3.** Tracheostomy may be deferred indefinitely in poor-risk COVID-19 patients, or when COVID testing results are persistently positive.
- **4.2.3.** Choice between open tracheostomy or percutaneous dilatational tracheostomy is dependent on surgeon-expertise and hospital readiness for the procedure, with emphasis on the performance of the procedure in Level 4 PPE for the entire operating room team, and with coordination between the surgical and anesthesia teams to minimize risk of exposure to aerosols during the procedure. (see *ANNEX B* and *C*)⁽¹¹⁾

4.3. For Bronchoscopy:

- **4.3.1.** As adjunct to obstructed airway management, procedures should be done at the earliest possible time in order to achieve airway patency. Procedures should be done in full level 4 PPE, with coordination between bronchoscopist and anesthesiologist throughout the procedure.
- 4.3.2. As a diagnostic procedure (elective), it is recommended that patients be COVID-19 (-) prior to the procedure.

V. **RECOMMENDATIONS FOR THORACIC MALIGNANCY**

- A PHASED APPROACH on HOSPITAL READINESS is recommended based on 5.1. the prevailing COVID-19 status in the hospital, the availability of hospital resources, and the status of the of COVID-19 burden in the community.⁽¹⁾
 - 5.1.1. PHASE I: Few COVID-19 cases, AND hospital resources are intact, AND COVID-19 cases are not rapidly rising.
 - 5.1.1.1. Surgery restricted to patients likely to have survivorship compromised if surgery not performed within next 3 months.
 - 5.1.2. PHASE II: Many COVID-19 cases, OR hospital resources are limited, OR COVID-19 cases rapidly rising.
 - Surgery restricted to patients likely to have survivorship 5.1.2.1. compromised if surgery **NOT** performed within next few days.
 - 5.1.3. PHASE III: Overwhelming number of COVID-19 cases, OR hospital resources are critically limited / exhausted, OR hospital resources are routed to COVID-19 patients.
 - 5.1.3.1. Surgery restricted to patients likely to have survivorship compromised if surgery **NOT** performed within next few hours.



Phase I	Surgery limited only for patie months	ents whose survival is com	promised if delayed for 3
Emergency	Surgery as soon as feasible	Surgery deferred for 3 months	Alternative treatment
 Rigid bronchoscopy for Malignant central airway obstruction with critical airway stenosis Pleural drainage for massive malignant pleural effusion (refer to pleural disease) 	 Pulmonary nodule presumed or known lung cancer clinical stage IA3 to Clinical stage IIIA (T3N1 or T4N0/N1); Non N2 Patients with strong clinical evidence of N1 should undergo mediastinoscopy with FS, (+) N2 proceed with induction chemotherapy; (-) N2 proceed with anatomic lung resection If with recent PETCT showing N0, may omit invasive mediastinal staging After favorable response to induction chemotherapy (Stage IIIA NSCLC) Invasive staging procedure to start treatment (mediastinoscopy, thoracoscopy) Esophageal cancer T1b or greater Stenting for obstructing esophageal tumor Malignant chest wall tumors Symptomatic mediastinal tumors – diagnosis not amenable to core biopsy 	 Pure GGO GGO with <50% solid component Solid nodule or lung cancer < 2 cm (clinical stage IA1 or IA2) Indolent histology (e.g.lepidic adenocarcinoma, carcinoid) Thymoma (asymptomatic, no compression symptoms) Pulmonary metastases High-risk patients likely to require prolonged ICU stay Tracheal resection Tracheostomy Endoscopic procedures: Diagnostic Bronchoscopy EBUS/EUS Upper GI Endoscopy 	 for symptomatic mediastinal tumors core needle biopsy is preferred over more invasive procedures (mediastinoscopy/ mediastinotomy) Clinical stage IIIA (N2) single station, non-bulky may proceed with induction chemotherapy Clinical stage IIIA with multi-station bulky N2 should undergo definitive chemoRT Endoscopic therapy for early stage esophageal cancer (T1a lesions) cT2 or higher and node positive esophageal tumors should be treated with induction chemotherapy Stereotactic Ablative Radiotherapy (SABR) Ablation (e.g. cryotherapy, Radiofrequency ablation)

Phase II	Surgery limited only for patients whose survival is compromised if NOT performed within a few days		
Emergency	Surgery as soon as feasible	Surgery deferred for 3 months	Alternative treatment
• Malignant central airway obstruction with critical airway stenosis	 Perforated esophageal cancer without sepsis Tumor associated infection without sepsis (e.g. coring out for post obstructive pneumonia) Tumor associated with hemorrhage, not amenable to nonsurgical treatment Management of surgical complications (hemothorax, empyema) in a hemodynamically stable patient 	All thoracic procedures typically scheduled as elective	 Transfer patient to another hospital that is phase I if eligible for adjuvant therapy, then give neoadjuvant therapy instead Stereotactic Ablative Radiotherapy (SABR) Ablation (e.g. cryotherapy, radiofrequency ablation) Reconsider neoadjuvant as definitve chemoradiaton, and follow patients for salvage surgery

Phase III	Surgery limited only for patients whose survival is compromised if NOT performed within the next few hours		
Emergency	Surgery as soon as feasible	Surgery deferred for 3 months	Alternative treatment
• Malignant central airway obstruction with critical airway stenosis	 Perforated esophageal cancer with sepsis Tumor associated sepsis Management of surgical complications in an unstable patient (active bleeding not amenable to nonsurgical management, dehiscence of airway anastomosis) 	All thoracic procedures typically scheduled as elective	same as phase II

VI. RECOMMENDATIONS FOR CHEST TRAUMA

- 6.1. Trauma protocols for patients with chest trauma should be followed.
- Proceed with EMERGENCY surgery as soon as possible *6.2*.
- 6.3. Recommend to do COVID-19 testing at the soonest possible time when feasible.

VII. TRIAGING OF COMMON THORACIC CASES FOR SURGERY

	EMERGENT	URGENT	ELECTIVE
PLEURAL EFFUSION	 Pleural effusion with respiratory compromise Empyema thoracis 	 Moderate[*] to massive^{**} pleural effusion with mild respiratory compromise Recurrent pleural effusion refractory to medical / conservative management 	 Minimal Pleural effusion Loculated effusion Malignant pleural effusion with co-morbidities or NOT amenable to systemic anticancer therapy
PNEUMOTHORA X	 Symptomatic primary pneumothorax Tension or secondary pneumothorax 	• Asymptomatic, large (>2cm visceral pleural separation at the level of the hilum) OR expanding pneumothorax	• Post-thoracostomy cases with persistent air leak
TRACHEOSTOM Y / AIRWAY	 Tracheal intubation as necessary for appropriate disease conditions (ARDS from COVID-19, severe pneumonia, COPD, etc.) Imminent obstruction / trauma – emergent tracheostomy 		 Tracheostomy for prolonged intubation Greater than 14 days intubated for unknown COVID status Greater than 21 days prolonged intubation AND COVID(+) → should have good prognosis AND conversion to COVID(-) case prior to tracheostomy Open tracheostomy or percutaneous tracheostomy dependent on surgeon expertise and hospital readiness
THORACIC TRAUMA	 All chest trauma cases managed as per protocol, with LEVEL A PPE for OR team Testing for COVID-19 status done at the soonest possible time 		

^{*}moderate effusion: $\geq \frac{1}{2}$ opacification of hemithorax on CXR OR \geq 500ml volume by ultrasound ^{**}large / massive effusion: $\geq 2/3$ opacification of hemithorax on CXR OR \geq 1000ml volume by ultrasound

Table 1. Triaging for common thoracic cases

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Annex A: Modified HME filter for air leak ⁽⁷⁾ Materials:

- HME (Heat and Moisture Exchange) filter: usually used in endotracheal anesthesia
- ET-tube (select size appropriate for connection on suction port or air-vent port of watr seal



A. HME Breathing Filter



B. HME Adapter



C. ET tube

Procedure:

- Connect the HME filter (A) to the cut ET tube with adapter (D)
- Connect (D) to the suction port or air-vent port of the drainage bottle (E)



D. Cut ET tube connected to HME



E. Filter system set-up connected to suction port / air vent



F. Sinapi drain system

Alternative set-up:

• Connect (D) to a Sinapi drain air vent port (F)

Annex B: Open Surgical Tracheostomy ⁽¹⁰⁾

Procedure

- Minimizing aerosolization during the procedure itself is critical. A non-fenestrated cuffed tracheotomy tube with a disposable inner cannula should be used for the time being.
- Coordination between anesthetist (for regulation of breathing) and surgeon during the procedure is key to minimize exposure of OR personnel to aerosols from the airway.
 - The patient should be fully paralyzed to minimize cough reflex during airway entry.
 - In addition to standard surgical instruments used for tracheotomy, electrosurgical equipment should be set up in the room while minimizing contamination of the electrosurgical generator
 - The ETT cuff should be advanced distal to the tracheotomy site to the level of the carina.
 - When the tube position is adjusted, ventilation should be held before the cuff is deflated until it is fully re-inflated.

- Confirmation of the ETT position should be performed by palpation of the tube and cuff through the anterior tracheal wall immediately before the trachea is incised.
- Ventilation should be held prior to incision of the trachea.
 - If ventilation needs to be resumed via the ETT after the trachea is incised, the cuff should be inflated. Prior to resuming ventilation, the cuff should be assessed for rupture. If ruptured, ventilation should remain held.
 - Ventilation should again be held as the ETT is withdrawn in preparation for tracheotomy tube insertion.
 - If cuff position could not be previously confirmed via palpation, ventilation should be held and the cuff fully deflated while the trachea is incised. The cuff position can then be adjusted through the tracheal opening before reinflation.
- Insertion of the tracheotomy tube should be accurate and quick to minimize open airway time.
 - The cuff should be immediately inflated and connected to a closed circuit, after which ventilation may resume.
 - Tube placement should be confirmed with end tidal CO2 and appropriately secured.

Annex C: Percutaneous dilatational tracheotomy (by Thoracic surgeon)⁽¹⁰⁾

- Coordination between anesthetist (for regulation of breathing) and surgeon during the procedure is key to minimize exposure of OR personnel to aerosols from the airway.
 - The patient should be fully paralyzed to minimize cough reflex during airway entry.
 - A disposable percutaneous dilational tracheotomy kit should be used, as well as a disposable flexible bronchoscope with monitor.
 - If the patient has very favorable anatomy, performing the procedure without the use of bronchoscopic guidance may be considered for conservation of resources and elimination of a potential pathway for aerosolization.
 - For patients undergoing the bronchoscopic-assisted approach, ventilation should be held when the bronchoscope adaptor is added to the circuit.
 - The hypopharynx should be packed and a Yankauer suction placed in the mouth to reduce aerosols when the ETT is high with the balloon at or above the glottic aperture.
 - Prior to inserting the bronchoscope, ventilation should be held, the scope entered, ventilation resumed, and any distal airway secretions cleared from the lower tracheobronchial tree.
 - Ventilation should be held prior to adequate minimal cuff deflation to retract the ETT to the point of insertion site visualization, and the cuff re-inflated prior to resuming ventilation.
 - Once the airway is entered and access is secured with the guidewire, a surgical sponge should be used to cover the area during insertion and removal of the dilator and tracheotomy tube to minimize the spray of aerosols.
 - If tolerated, ventilation should be held prior to the insertion of the dilator until the tracheotomy tube is in place, the cuff is inflated, and the tube is connected to a closed circuit.
 - Tube placement should be confirmed with end tidal CO2 and appropriately secured.
- Follow hospital protocol for decontamination of non-disposable equipment exposed to secretions of COVID-19 patients upon completion of the procedure.